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| HEWLETT PACKARD COMPANY<br>P O BOX 272400, 3404 E. HARMONY ROAD<br>INTELLECTUAL PROPERTY ADMINISTRATION<br>FORT COLLINS, CO 80527-2400 |             |                      | GOGIA, ANKUR        |                  |
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|  |             |                      | 2187                |                  |

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Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

10/768,306

**Applicant(s)**

WORLEY, JOHN S.

**Examiner**

Ankur Gogia

**Art Unit**

2187

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 29 January 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 17 May 2004 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

1. The instant application, having Application No. 10/768,306, has a total of 31 claims pending in the application; there are 3 independent claims and 28 dependent claims, all of which are ready for examination by the examiner.

### ***Oath/Declaration***

2. The applicant's oath/declaration has been reviewed by the examiner and is found to conform to the requirements prescribed in 37 C.F.R. 1.63.

### ***Drawings***

3. Figures 1 and 2 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g). Corrected drawings in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

4. Figure 3 is objected to for the following reasons. The figure appears to omit a step in the processing between steps 318 and 314. Specifically, the processing disclosed in lines 19-23 does not appear to be illustrated in the figure. Corrected drawings in compliance with 37 CFR 1.121(d) are required in reply to the Office action

to avoid abandonment of the application. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

### ***Specification***

5. The disclosure is objected to because of the following informalities: In the Brief Descriptions of the Drawings, the applicant has listed Fig. 5 twice and has provided no description of Fig. 2.

Appropriate correction is required.

6. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: Claim 29 discloses wherein the specified value returned upon a read is  $-1$  in two's complement, however the specification does not appear to provide support for this limitation.

### ***Claim Rejections - 35 USC § 101***

7. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

8. Claims 21-31 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claims appear to disclose an operating

system without a computer-readable medium needed to realize the operating system's functionality. Such a claimed operating system does not define any structural and functional interrelationships between the operating system and other claimed elements of a computer, which permit the operating system's functionality to be realized.

9. Claim 29 is rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility.

Claim 29 discloses an operating system that provides for allocating immediate virtual memory in which upon an attempt to read the immediate virtual memory a specified value is returned. Specifically, the specified value that is returned is -1 in two's complement arithmetic.

As discussed above in ¶8, claim 29 belongs to a claim set that appears to be directed towards non-statutory subject matter.

During the course of examination of the instant application, the examiner was unable to find a well-established utility for the disclosed invention. In performing a search of the prior art relating to Immediate Virtual Memory, it did not appear that the use of -1 in two's complement arithmetic for initialization of virtual memory had been established.

Furthermore, upon review of the instant specification it does not appear that the applicant has provided any assertion of utility for the invention as claim in claim 29. On Page 7, line 16 – Page 8, line 6, the applicant appears to provide an assertion of utility for various initializations of virtual memory pages (i.e. random numbers, all zeros, all

ones, etc.), however there does not appear to be a mention of using -1 in two's complement arithmetic as an initialization value.

Based on the above deficiencies the applicant appears to have failed to meet the utility requirement of 35 U.S.C. 101 with regards to claim 29.

Claim 29 is also rejected under 35 U.S.C. 112, first paragraph. Specifically, since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art clearly would not know how to use the claimed invention.

### ***Claim Rejections - 35 USC § 102***

10. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

11. Claims 1-2, 4, 13, 21-22 and 24 are rejected under 35 U.S.C. 102(b) as being anticipated by "Inside Windows NT Second Edition" by David A. Solomon (hereinafter referred to as Solomon).

#### **Claim 1**

A method for providing immediate virtual memory within a computer system (**Pg. 219, ¶s 1-2; It is noted that the reserved memory is the immediate virtual memory**), the method comprising:

Allocating a new translation (**Pgs. 256-259 Page Table Entries (PTE), 273-274 Virtual Address Descriptors (VAD))** for a virtual memory page (**Pgs. 273, ¶4 and 274 ¶1; The allocation process consists of the allocation of the VAD and the PTE with both structures being part of the translation**); and

Setting one or more bit flags within the translation to indicate that the translation specifies an immediate virtual page (**Pgs. 258-259 Fig. 5-11, Table 5-12 Valid bit**).

**Claim 2**

The method of claim 1 wherein the new translation is a translation look-aside buffer entry allocated within a translation look-aside buffer (**inherent; Pg. 261, ¶1 under the Translation Look-Aside Buffer section; The reference states that the most recently used pages have entries in the TLB and a recently created entry is a most recently used entry and therefore would be in the TLB**).

**Claim 4**

The method of claim 1 wherein the new translation is allocated within a memory-resident operating-system data structure (**Pgs. 256-259 Page Table Entries, 273-274 Virtual Address Descriptors; The reference discloses allocating a Page Table Entry and a Virtual Address Descriptor, both of which are operating-system data structures**).

**Claim 13**

A computer processor that provides architecture support for immediate virtual memory, the computer processor comprising:

Processor logic for executing computer instructions and fetching instructions and data from memory;

Processor logic for reading one or more control bits within a translation and determining whether or not a corresponding unit of memory is immediate (**inherent; Solomon discloses an operating system wherein the operating system allocates virtual memory and is able to differentiate between committed virtual memory and reserved (immediate) virtual memory through a valid bit in the translation of the virtual memory as discussed above with reference to claim 1. Solomon does not disclose expressly that there is a processor with processor logic for fetching and executing instructions and determining if a virtual memory allocation is an immediate virtual memory allocation, however a processor is inherently required for an operating system to operate. Since an operating system is merely software, and therefore consists of a series of instructions, the processor must have logic to fetch and execute these instructions. Furthermore, since the operating system is configured to operate on virtual memory, the processor logic must also fetch data from memory. Finally, since the operating system is configured to operate on immediate virtual memory and non-immediate virtual memory, which are distinguished by a bit in a translation, the processor must have logic that is able to read one or more control bits within the translation and determine whether or not the corresponding unit of memory is immediate).**



**Claim 21**

An operating system that allocates an immediate virtual memory page within a computer system (**Pg. 219, ¶s 1-2; It is noted that the reserved memory is the immediate virtual memory**) by:

Allocating a new translation (**Pgs. 256-259 Page Table Entries (PTE), 273-274 Virtual Address Descriptors (VAD))** for the virtual memory page (**Pgs. 273, ¶4 and 274 ¶1; The allocation process consists of the allocation of the VAD and the PTE with both structures being part of the translation**); and

Setting an immediate bit flag within the translation to indicate that the corresponding virtual memory page is immediate, with no allocated physical memory (**Pgs. 258-259 Fig. 5-11, Table 5-12 Valid bit**).

**Claim 22**

The operating system of claim 21 wherein the new translation is a translation look-aside buffer entry allocated within a translation look-aside buffer (**inherent; Pg. 261, ¶1 under the Translation Look-Aside Buffer section; The reference states that the most recently used pages have entries in the TLB and a recently created entry is a most recently used entry and therefore would be in the TLB**).

**Claim 24**

The operating system of claim 21 wherein the new translation is allocated within a memory-resident operating-system data structure (**Pgs. 256-259 Page Table Entries, 273-274 Virtual Address Descriptors; The reference discloses allocating a Page**

**Table Entry and a Virtual Address Descriptor, both of which are operating-system data structures).**

12. Claims 5, 8-9, 12, 14, 17, 20, 25, 28-29, and 31 are rejected under 35 U.S.C. 102(b) as being anticipated by Solomon in view of <<http://squid-cache.org/mail-archive/squi-users/199708/0124.html>> (hereinafter referred to as Wemm) with the latter being provided in accordance with MPEP 2131.01(II).

**Claim 5**

The method of claim 1 wherein, when immediate virtual memory is accessed by a READ access instruction, a specified value is returned (**Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that the demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the allocating and returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).**

**Claim 8**

The method of claim 5 wherein the specified value is specified by one of:  
A software specification within an operating system; or  
A hardware logic circuit (**Solomon, Pg. 266 – Demand Zero; Solomon discloses wherein the specified value is chosen from a list or generated both of which are performed by a software specification within an operating system).**

**Claim 9**

The method of claim 5 wherein the specified value is 0 (Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the allocating and returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).

**Claim 12**

The method of claim 1 wherein, when immediate virtual memory is accessed by a WRITE access instruction, an exception is generated to allow an operating system to allocate and initialize a physical memory page corresponding to the virtual memory page (Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault (generated exception) wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the allocating and returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).

**Claim 14**

The computer processor of claim 13 further including,

Processor logic that, upon READ access to memory determined to be immediate, returns a specified value; and

Processor logic that, upon WRITE access to immediate memory, generates an immediate memory exception to allow an operating system to allocate and initialize physical memory corresponding to the immediate memory (**Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the returning/allocating of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation. With regards to the processor logic, see the discussion for claim 13 above).**

#### **Claim 17**

The computer processor of claim 14 wherein the specified value is 0 (**Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).**

**Claim 20**

The computer processor of claim 14 wherein the specified value is specified by one of:

A software specification within an operating system; or

A hardware logic circuit (**Solomon, Pg. 266 – Demand Zero; Solomon discloses wherein the specified value is chosen from a list or generated both of which are performed by a software specification within an operating system).**

**Claim 25**

The operating system of claim 21 wherein, when immediate virtual memory is accessed by a READ access instruction, a specified value is returned (**Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that the demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the allocating and returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).**

**Claim 28**

The operating system of claim 25 wherein the specified value is 0 (**Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that demand-zero response is a page fault**

**response that occurs when a newly allocated page is accessed for the first time and results in the allocating and returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).**

**Claim 31**

The operating system of claim 21 wherein, when immediate virtual memory is accessed by a WRITE access instruction, an immediate-virtual-memory-page exception is generated to allow the operating system to allocate and initialize a physical memory page corresponding to the virtual memory page (**Solomon, Pg. 266 – Demand Zero; As disclosed by Solomon, the demand-zero response is a response to a page fault (generated exception) wherein the desired page must be satisfied with a page of zeros. Wemm discloses in ¶4 that demand-zero response is a page fault response that occurs when a newly allocated page is accessed for the first time and results in the allocating and returning of a zeroed page. The newly allocated page has been reserved but does not have a physical page attached to it upon allocation).**

***Claim Rejections - 35 USC § 103***

13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

14. Claims 2 and 22 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Solomon in view of applicant's admitted prior art (hereinafter referred to as AAPA).

**Claim 2 – 103(a)**

**(Note: See 102(b) rejection in ¶8 above)**

Solomon discloses the method of claim 1 as above.

Solomon does not disclose expressly wherein the new translation is a translation look-aside buffer (TLB) entry allocated within a translation look-aside buffer.

AAPA discloses that when a virtual memory page is allocated, corresponding TLB entries are placed into a TLB (**Pg. 4, Lines 5-7**).

Solomon and AAPA are analogous art because they are from the same field of endeavor of allocating virtual memory.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of Solomon and AAPA before them, to place translations of a virtual memory into a TLB.

The motivation for doing so would have been to use the speed of TLB registers to prevent decrease in system performance due to translation from virtual to physical addresses (**Solomon, Pg. 261, ¶1 under the Translation Look-Aside Buffer section**).

Therefore, it would have been obvious to combine AAPA with Solomon for the benefit of improved system performance to obtain the invention as specified in claim 2.

**Claim 22 – 103(a)**

**(Note: See 102(b) rejection in ¶8 above)**

Solomon discloses the operating system of claim 21 as above.

Solomon does not disclose expressly wherein the new translation is a translation look-aside buffer (TLB) entry allocated within a translation look-aside buffer.

AAPA discloses that when a virtual memory page is allocated, corresponding TLB entries are placed into a TLB (**Pg. 4, Lines 5-7**).

Solomon and AAPA are analogous art because they are from the same field of endeavor of allocating virtual memory.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of Solomon and AAPA before them, to place translations of a virtual memory into a TLB.

The motivation for doing so would have been to use the speed of TLB registers to prevent decrease in system performance due to translation from virtual to physical addresses (**Solomon, Pg. 261, ¶1 under the Translation Look-Aside Buffer section**).

Therefore, it would have been obvious to combine AAPA with Solomon for the benefit of improved system performance to obtain the invention as specified in claim 22.

15. Claims 3 and 23 are rejected under 35 U.S.C. 103(a) as being obvious over Solomon in view of AAPA.

**Claim 3**

Solomon discloses the method of claim 2 as above.



Solomon does not disclose expressly wherein the new translation look-aside buffer entry is a translation look-aside buffer entry allocated within a virtual hash page table.

AAPA discloses wherein a TLB entry is stored in a virtual hash page table (**Pg. 2, Lines 20-21**).

Solomon and AAPA are analogous art because they are from the same field of endeavor of allocating virtual memory.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of Solomon and AAPA before them, to place TLB entries into a virtual hash page table (VHPT).

The motivation for doing so would have been to ensure TLB entries are backed up so they are not lost (**AAPA, Page 2, Lines 20-21**).

Therefore, it would have been obvious to combine AAPA with Solomon for the benefit of improved system performance to obtain the invention as specified in claim 3.

**Claim 23**

Solomon discloses the operating system of claim 22 as above.

Solomon does not disclose expressly wherein the new translation look-aside buffer entry is a translation look-aside buffer entry allocated within a virtual hash page table.

AAPA discloses wherein a TLB entry is stored in a virtual hash page table (**Pg. 2, Lines 20-21**).

Solomon and AAPA are analogous art because they are from the same field of endeavor of allocating virtual memory.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of Solomon and AAPA before them, to place TLB entries into a virtual hash page table (VHPT).

The motivation for doing so would have been to ensure TLB entries are backed up so they are not lost (**AAPA, Page 2, Lines 20-21**).

Therefore, it would have been obvious to combine AAPA with Solomon for the benefit of improved system performance to obtain the invention as specified in claim 23.

16. Claims 6-7, 15-16 and 26-27 are rejected under 35 U.S.C. 103(a) as being obvious over Solomon in view of Wemm with the latter being provided in accordance with MPEP 2131.01(II) as applied to claim 5 above and further in view of "Structured Computer Organization Second Edition" by Andrew S. Tanenbaum (hereinafter referred to as Tanenbaum).

**Claim 6**

The combination of Solomon and Wemm disclose the method of claim 5 as above. Solomon further discloses wherein a specified value is either obtained from a list or generated by software (**Solomon, Pg. 266 – Demand Zero**).

They do not disclose expressly wherein the specified value is generated by a processor logic circuit.

Tanenbaum discloses wherein hardware and software are logically equivalent and therefore any operation performed by software can also be built directly into the

hardware (**Pg. 11, Line 11**). Therefore, having the specified value generated by software is logically equivalent to having the value generated by a processor logic circuit.

The combination of Solomon and Wemm and Tanenbaum are analogous art because they are from the same field of endeavor of computer hardware.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Tanenbaum before them, to use a processor logic circuit to generate a specified return value in response to a read command.

The motivation for doing so would have been to optimize such factors as cost, speed and reliability (**Tanenbaum, Pg. 11, Lines 14-15**).

Therefore, it would have been obvious to combine the combination of Solomon and Wemm with Tanenbaum for the benefit of obtaining the invention as specified in claim 6.

**Claim 7**

The combination of Solomon and Wemm disclose the method of claim 5 as above. Solomon further discloses wherein a specified value is either obtained from a list or generated by software (**Solomon, Pg. 266 – Demand Zero**).

They do not disclose expressly wherein the specified value is obtained from a default-valued processor register.

Tanenbaum discloses wherein hardware and software are logically equivalent and therefore any operation performed by software can also be built directly into the

hardware (**Pg. 11, Line 11**). Therefore, having the specified value obtained from a list is logically equivalent to having the value obtained from a processor register.

The combination of Solomon and Wemm and Tanenbaum are analogous art because they are from the same field of endeavor of computer hardware.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Tanenbaum before them, to use a processor logic circuit to generate a specified return value in response to a read command.

The motivation for doing so would have been to optimize such factors as cost, speed and reliability (**Tanenbaum, Pg. 11, Lines 14-15**).

Therefore, it would have been obvious to combine the combination of Solomon and Wemm with Tanenbaum for the benefit of obtaining the invention as specified in claim 7.

#### **Claim 15**

The combination of Solomon and Wemm disclose the computer processor of claim 14 as above. Solomon further discloses wherein a specified value is either obtained from a list or generated by software (**Solomon, Pg. 266 – Demand Zero**).

They do not disclose expressly wherein the specified value is generated by a processor logic circuit.

Tanenbaum discloses wherein hardware and software are logically equivalent and therefore any operation performed by software can also be built directly into the hardware (**Pg. 11, Line 11**). Therefore, having the specified value generated by

software is logically equivalent to having the value generated by a processor logic circuit.

The combination of Solomon and Wemm and Tanenbaum are analogous art because they are from the same field of endeavor of computer hardware.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Tanenbaum before them, to use a processor logic circuit to generate a specified return value in response to a read command.

The motivation for doing so would have been to optimize such factors as cost, speed and reliability (**Tanenbaum, Pg. 11, Lines 14-15**).

Therefore, it would have been obvious to combine the combination of Solomon and Wemm with Tanenbaum for the benefit of obtaining the invention as specified in claim 15.

**Claim 16**

The combination of Solomon and Wemm disclose the computer processor of claim 14 as above. Solomon further discloses wherein a specified value is either obtained from a list or generated by software (**Solomon, Pg. 266 – Demand Zero**).

They do not disclose expressly wherein the specified value is obtained from a default-valued processor register.

Tanenbaum discloses wherein hardware and software are logically equivalent and therefore any operation performed by software can also be built directly into the

hardware (**Pg. 11, Line 11**). Therefore, having the specified value obtained from a list is logically equivalent to having the value obtained from a processor register.

The combination of Solomon and Wemm and Tanenbaum are analogous art because they are from the same field of endeavor of computer hardware.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Tanenbaum before them, to use a processor logic circuit to generate a specified return value in response to a read command.

The motivation for doing so would have been to optimize such factors as cost, speed and reliability (**Tanenbaum, Pg. 11, Lines 14-15**).

Therefore, it would have been obvious to combine the combination of Solomon and Wemm with Tanenbaum for the benefit of obtaining the invention as specified in claim 16.

#### **Claim 26**

The combination of Solomon and Wemm disclose the operating system of claim 25 as above. Solomon further discloses wherein a specified value is either obtained from a list or generated by software (**Solomon, Pg. 266 – Demand Zero**).

They do not disclose expressly wherein the specified value is generated by a processor logic circuit.

Tanenbaum discloses wherein hardware and software are logically equivalent and therefore any operation performed by software can also be built directly into the hardware (**Pg. 11, Line 11**). Therefore, having the specified value generated by

software is logically equivalent to having the value generated by a processor logic circuit.

The combination of Solomon and Wemm and Tanenbaum are analogous art because they are from the same field of endeavor of computer hardware.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Tanenbaum before them, to use a processor logic circuit to generate a specified return value in response to a read command.

The motivation for doing so would have been to optimize such factors as cost, speed and reliability (**Tanenbaum, Pg. 11, Lines 14-15**).

Therefore, it would have been obvious to combine the combination of Solomon and Wemm with Tanenbaum for the benefit of obtaining the invention as specified in claim 26.

#### **Claim 27**

The combination of Solomon and Wemm disclose the operating system of claim 25 as above. Solomon further discloses wherein a specified value is either obtained from a list or generated by software (**Solomon, Pg. 266 – Demand Zero**).

They do not disclose expressly wherein the specified value is obtained from a default-valued processor register.

Tanenbaum discloses wherein hardware and software are logically equivalent and therefore any operation performed by software can also be built directly into the

hardware (**Pg. 11, Line 11**). Therefore, having the specified value obtained from a list is logically equivalent to having the value obtained from a processor register.

The combination of Solomon and Wemm and Tanenbaum are analogous art because they are from the same field of endeavor of computer hardware.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Tanenbaum before them, to use a processor logic circuit to generate a specified return value in response to a read command.

The motivation for doing so would have been to optimize such factors as cost, speed and reliability (**Tanenbaum, Pg. 11, Lines 14-15**).

Therefore, it would have been obvious to combine the combination of Solomon and Wemm with Tanenbaum for the benefit of obtaining the invention as specified in claim 27.

17. Claims 10, 18 and 30 are rejected under 35 U.S.C. 103(a) as being obvious over Solomon in view of Wemm with the latter being provided in accordance with MPEP 2131.01(II) as applied to claims 5, 14 and 25 above, and further in view of LeClerc (6,857,041).

#### **Claim 10**

The combination of Solomon and Wemm disclose the method of claim 5 as above.

They do not disclose expressly, wherein the specified value is any fixed, non-zero bit pattern of any size.



LeClerg discloses a method for initializing memory wherein the memory may be initialized to one. In an alternate embodiment, the memory may be initialized to any other suitable value (**Col. 3, Lines 25-34; It is noted that LeClerg discloses the initialization of physical memory and not virtual memory, however the examiner is interpreting initialization of virtual pages as disclosed on line 17, page 7 of the instant specification, as initialization of the physical memory associated with the virtual memory. Since LeClerg specifically discloses embodiments where the memory is initialized to either one or zero, the examiner is interpreting “any other suitable value” as any value other than one or zero).**

The combination of Solomon and Wemm and LeClerg are analogous art because they are from the same field of endeavor of initializing memory in varying manners.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and LeClerg before them, to initialize memory to any fixed, non-zero bit pattern of any size.

The motivation for doing so would have been to erase secure information from the memory so that it may not be accessed by others (**LeClerg, Col. 1, Lines 22-33**).

Therefore, it would have been obvious to combine LeClerg with the combination of Solomon and Wemm to obtain the invention as specified in claim 10.

#### **Claim 18**

The combination of Solomon and Wemm disclose the computer processor of claim 14 as above.

They do not disclose expressly, wherein the specified value is any fixed, non-zero bit pattern of any size.

LeClerc discloses a method for initializing memory wherein the memory may be initialized to one. In an alternate embodiment, the memory may be initialized to any other suitable value (**Col. 3, Lines 25-34; It is noted that LeClerc discloses the initialization of physical memory and not virtual memory, however the examiner is interpreting initialization of virtual pages as disclosed on line 17, page 7 of the instant specification, as initialization of the physical memory associated with the virtual memory. Since LeClerc specifically discloses embodiments where the memory is initialized to either one or zero, the examiner is interpreting "any other suitable value" as any value other than one or zero).**

The combination of Solomon and Wemm and LeClerc are analogous art because they are from the same field of endeavor of initializing memory in varying manners.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and LeClerc before them, to initialize memory to any fixed, non-zero bit pattern of any size.

The motivation for doing so would have been to erase secure information from the memory so that it may not be accessed by others (**LeClerc, Col. 1, Lines 22-33).**

Therefore, it would have been obvious to combine LeClerc with the combination of Solomon and Wemm to obtain the invention as specified in claim 18.

**Claim 30**

The combination of Solomon and Wemm disclose the operating system of claim 25 as above.

They do not disclose expressly wherein the specified value is a random number obtained by processor logic algorithmically, from electronic noise, or from another physical source.

LeClerg discloses a method for initializing memory wherein the memory may be initialized to any other suitable value. **(Col. 3, Lines 25-34; It is noted that LeClerg discloses the initialization of physical memory and not virtual memory, however the examiner is interpreting initialization of virtual pages as disclosed on line 17, page 7 of the instant specification, as initialization of the physical memory associated with the virtual memory. Since LeClerg specifically discloses embodiments where the memory is initialized to either one or zero, the examiner is interpreting “any other suitable value” as any value other than one or zero).**

The combination of Solomon and Wemm and LeClerg are analogous art because they are from the same field of endeavor of initializing memory in varying manners.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and LeClerg before them, to initialize memory to a random number.

The motivation for doing so would have been to erase secure information from the memory so that it may not be accessed by others **(LeClerg, Col. 1, Lines 22-33).**

Therefore, it would have been obvious to combine LeClerc with the combination of Solomon and Wemm to obtain the invention as specified in claim 30.

18. Claims 11 and 19 are rejected under 35 U.S.C. 103(a) as being obvious over Solomon in view of Wemm with the latter being provided in accordance with MPEP 2131.01(II) as applied to claims 5 and 14 above, and further in view of Liew (6,665,249).

**Claim 11**

The combination of Solomon and Wemm disclose the method of claim 5 as above.

They do not disclose expressly wherein the specified value is a random number obtained by processor logic algorithmically, from electronic noise, or from another physical source.

Liew discloses a method for initializing memory wherein the memory is initialized to a random value (**Title; Abstract; It is noted that Liew discloses the initialization of physical memory and not virtual memory, however the examiner is interpreting initialization of virtual pages as disclosed on line 17, page 7 of the instant specification, as initialization of the physical memory associated with the virtual memory.**).

The combination of Solomon and Wemm and Liew are analogous art because they are from the same field of endeavor of methods for initializing memory.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Liew before them, to initialize memory to a random number.

The motivation for doing so would have been to improve the selection of the optimum write power and thus provide increased reliability and performance (**Liew, Col. 1, Lines 49-56**).

Therefore, it would have been obvious to combine Liew with the combination of Solomon and Wemm to obtain the invention as specified in claim 11.

#### **Claim 19**

The combination of Solomon and Wemm disclose the computer processor of claim 14 as above.

They do not disclose expressly wherein the specified value is a random number obtained by processor logic algorithmically, from electronic noise, or from another physical source.

Liew discloses a method for initializing memory wherein the memory is initialized to a random value (**Title; Abstract; It is noted that Liew discloses the initialization of physical memory and not virtual memory, however the examiner is interpreting initialization of virtual pages as disclosed on line 17, page 7 of the instant specification, as initialization of the physical memory associated with the virtual memory.**).

The combination of Solomon and Wemm and Liew are analogous art because they are from the same field of endeavor of methods for initializing memory.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Liew before them, to initialize memory to a random number.

The motivation for doing so would have been to improve the selection of the optimum write power and thus provide increased reliability and performance (**Liew, Col. 1, Lines 49-56**).

Therefore, it would have been obvious to combine Liew with the combination of Solomon and Wemm to obtain the invention as specified in claim 19.

19. Claim 29 is rejected under 35 U.S.C. 103(a) as being obvious over Solomon in view of Wemm with the latter being provided in accordance with MPEP 2131.01(II) as applied to claim 25 above, and further in view of Sakakura et al. (5,625,795; Hereinafter referred to as Sakakura) and <http://www.cs.jcu.edu.au/Subjects/cp1200/1996/org/node9.html> (hereinafter referred to as Sloane).

#### **Claim 29**

The combination of Solomon and Wemm disclose the operating system of claim 25 as above.

They do not disclose expressly, wherein the specified value is  $-1$  in two's complement arithmetic.

Sakakura discloses initializing a memory wherein the memory is initialized to  $-1$  (**Col. 7, Lines 16-20; It is noted that Sakakura discloses the initialization of physical memory and not virtual memory, however the examiner is interpreting initialization of virtual pages as disclosed on line 17, page 7 of the instant specification, as initialization of the physical memory associated with the virtual memory.**).

The combination of Solomon and Wemm and LeClerg are analogous art because they are from the similar problem solving area of indicating certain memory area as unusable.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and Liew before them, to initialize memory to -1.

The motivation for doing so would have been to improve reliability of exclusive control over the memory (**Sakakura, Col. 2, Lines 40-45**).

Therefore, it would have been obvious to combine Sakakura with the combination of Solomon and Wemm to obtain the invention as specified in claim 29.

The combination of Solomon and Wemm and LeClerg does not disclose expressly where  $-1$  is represented in two's complement.

Sloane discloses representing numbers in two's complement.

At the time of the invention it would have been obvious to a person of ordinary skill in the art, having the teachings of the combination of Solomon and Wemm and LeClerg and Sloane before them, to represent  $-1$  as a two's complement number.

The motivation for doing so would have been due to the fact that two's complement achieves subtraction in the same manner as addition.

Therefore, it would have been obvious to combine Sloane with the combination of Solomon and Wemm and LeClerg to obtain the invention as disclosed in claim 29.

**Conclusion**

20. The following is a summary of the treatment and status of all claims in the application as recommended by M.P.E.P. 707.07(i):

a. Per the instant office action, claims 1-31 have received a first action on the merits and are subject of a first action non-final.

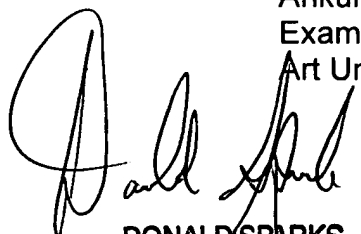
21. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ankur Gogia whose telephone number is 571-272-4166. The examiner can normally be reached on M-F 8:00am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks can be reached on 571-272-4201. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

2/15/2006

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